

Form PTO-1390  
(REV 10-2000)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

ATTORNEY REFERENCE NUMBER  
1581.0780 WE/CEJ

U.S. APPLICATION NO. (IF KNOWN, SEE 37 C.F.R. § 1.5)  
To be assigned 09/763750

INTERNATIONAL APPLICATION NO  
PCT/GB99/02828

INTERNATIONAL FILING DATE  
27 August 1999

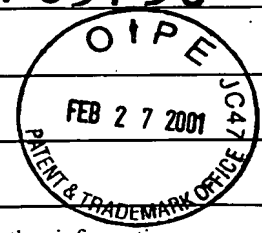
PRIORITY DATE CLAIMED  
27 August 1998

TITLE OF INVENTION

Superoxide Dismutase as a Vaccine Antigen

APPLICANT(S) FOR DO/EO/US

GORRINGE, Andrew Richard; KROLL, John Simon; LANGFORD, Paul Richard; and ROBINSON, Andrew



Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- 1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
- 2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
- 3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)).
- 4. ☐ The US has been elected by the expiration of 19 months from the priority date (PCT Article 31).
- 5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☒ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
- 6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
- 7. ☒ Amendments to the claims of the International application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
- 8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 372(c)(3)).
- 9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
- 10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). *FCR*

Items 11. to 16. below concern other document(s) or information included:

- 11. ☒ An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.
- 12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.
- 13. ☒ A **FIRST** preliminary amendment.  
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
- 14. ☐ A substitute specification.
- 15. ☐ A change of power of attorney and/or address letter.
- 16. ☒ Other items or information: A copy of The International Preliminary Examination Report with annexes; 37 C.F.R. § 1.136(a)(3) Authorization to Treat a Reply As Incorporating An Extension of Time (*in duplicate*); and Two (2) return postcards.

09763750-060401

U.S. APPLICATION NO. (if known, see 37 CFR 1.50)

INTERNATIONAL APPLICATION NO.

ATTORNEY'S DOCKET NUMBER

To be assigned

PCT/GB99/02828

1581.0780000/RWE/CEJ

17. ☒ The following fees are submitted:

CALCULATIONS PTO USE ONLY

**Basic National Fee (37 CFR 1.492(a)(1)-(5)):**

Neither international preliminary examination fee (37 CFR 1.482)  
nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO  
and International Search Report not prepared by the EPO or JPO ..... \$1000.00

International preliminary examination fee (37 CFR 1.482) not paid to  
USPTO but International Search Report prepared by the EPO or JPO ..... \$860.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but  
international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$710.00

International preliminary examination fee paid to USPTO (37 CFR 1.482)  
but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$690.00

International preliminary examination fee paid to USPTO (37 CFR 1.482)  
and all claims satisfied provisions of PCT Article 33(2)-(4) ..... \$ 100.00

ENTER APPROPRIATE BASIC FEE AMOUNT =

\$ 860.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☒ 30 months  
from the earliest claimed priority date (37 CFR 1.492(e)).

\$ 130.00

Claims	Number Filed	Number Extra	Rate		
Total Claims	27 - 20 =	7	X \$18.00	\$ 126.00	
Independent Claims	10 - 3 =	7	X \$80.00	\$ 560.00	
Multiple dependent claim(s) (if applicable)			+ \$270.00	\$ 0.00	
<b>TOTAL OF ABOVE CALCULATIONS</b>				= \$ 1,676.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$0.00	
<b>SUBTOTAL</b>				= \$ 0.00	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				+ \$ 0.00	
<b>TOTAL NATIONAL FEE</b>				= \$ 1,676.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				+ \$ 0.00	
<b>TOTAL FEES ENCLOSED</b>				= \$ 1,676.00	
				Amount to be refunded:	\$
				charged:	\$

a. ☒ A check in the amount of \$1,676.00 to cover the above fees is enclosed.b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 19-0036. A duplicate copy of this sheet is enclosed.

**NOTE: Where an appropriate time limit Under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.**

SEND ALL CORRESPONDENCE TO:

STERNE, KESSLER, GOLDSTEIN &amp; FOX P.L.L.C.

1100 New York Avenue, NW, Suite 600

Washington, D.C. 20005-3934

SIGNATURE

Robert W. Esmond

NAME

32,893

REGISTRATION NUMBER

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Gorringe *et al.*Appl. No. To be assigned (International  
Application No. PCT/GB99/02828)Filed: Herewith (International Filing Date:  
27 August 1999)For: **Superoxide Dismutase as a  
Vaccine Antigen**

Art Unit: To be assigned

Examiner: To be assigned

Atty. Docket: 1581.0780000/RWE/CEJ

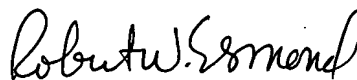
**Authorization To Treat A Reply As Incorporating An Extension Of  
Time Under 37 C.F.R. § 1.136(a)(3)**Commissioner for Patents  
Washington, D.C. 20231

Sir:

The U.S. Patent and Trademark Office is hereby authorized to treat any concurrent or future reply that requires a petition for an extension of time under this paragraph for its timely submission, as incorporating a petition for extension of time for the appropriate length of time. The U.S. Patent and Trademark Office is hereby authorized to charge all required extension of time fees to our Deposit Account No. 19-0036, if such fees are not otherwise provided for in such reply. A duplicate copy of this authorization is enclosed.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN &amp; FOX P.L.L.C.

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